

THE LAW OFFICE OF STEVEN G. ROEDER

Patents, Trademarks and Copyrights

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Williams, et al.) \
Serial No.:	09/768,975)) Art Unit) 2652
Filed:	January 23, 2001)) \
For:	A DUAL STAGE, HEAD STACK ASSEMBLY FOR A DISK DRIVE	,))
Examiner:	Blouin, Mark S.	,)
Attorney Docket:	Q01-1000-US1 / 11198.52	<i>)</i>)

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Transmitted herewith is a Supplemental Information Disclosure Statement (form 1449A/PTO modified) and a return receipt postcard.

Applicants submit herewith identification of patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The Information Disclosure Statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last and after the mailing date of a Final Action under §1.113, thereby requiring certification under 37 CFR 1.97(e) and the required fee.

The undersigned attorney hereby certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

CERTIFICATION AND FEE

Accompanying this transmittal is

X a certification (provided above) as specified in 37 CFR 1.97(e);

AND

X the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).

Respectfully submitted on this the 12th day of July, 2005.

JAMES P. BRODER Attorney for Applicant Registration No. 43,514

CERTIFICATE OF MAILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this the 12th day of July, 2005.

JAMES P. BRODER, Attorney for Applicant--Registration No. 43,514

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

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Application Number

Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

=	Filing Date	January 23, 2001	
_	First Named Inventor	Williams	
ſ	Art Unit	2653	
	Examiner Name	Blouin Mark S.	

Attorney Docket Number Q01-1000-US1 / 11198.52

Complete if Known

09/768.975

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code ^{2 (# known)}			Figures Appear
		^{US-} 6,501,625	12-31-2002	Boismier, et al.	
		US-			

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ [®]
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Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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